

**STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT**

IN THE MATTER OF: PETER M. PETERSON)
_____)

FILE NO. 1100492

ORDER OF REVOCATION

TO THE RESPONDENT:

Peter M. Peterson
(CRD#: 2825535)
2402 S. Ardson Place
Tampa, Florida 33629

Peter M. Peterson
(CRD#: 2825535)
C/o Pointe Atlantic, Inc.
601 N. Lois Avenue Suite 26
Tampa, Florida 33609

WHEREAS, on the 18th day of April 2012, a Hearing was held before Hearing Officer James L. Kopecky to determine whether the Respondent's registrations as a Salesperson and Investment Advisor Representative shall be revoked.

WHEREAS, the Notice of Hearing of the Secretary of State, Securities Department, dated February 27, 2011 was served on Respondent on February 27, 2012 in this proceeding (the "Notice").

WHEREAS, the Respondent failed to answer or to appear at the Hearing and was found to be in default; and the Hearing Officer permitted the evidentiary Hearing to proceed.

WHEREAS, the Secretary of State has determined that the following Recommendations of the Hearing Officer shall be adopted as the Secretary of State's Findings of Fact:

1. The Department served Respondent with a Notice of Hearing on or about January 12, 2012.
2. The Respondent failed to answer, appear, or submit a responsive pleading.
3. The Respondent did not appear at the Hearing.

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4. Peter Peterson is a natural person. His last known address is 2402 S. Ardson Place, Tampa, Florida, 33629. His last known business address is Pointe Atlantic, Inc., 601 n. Lois Avenue Suite 26, Tampa, Florida, 33609.
5. On November 17, 2011, FINRA barred Respondent from associating with any member firm in any capacity based upon the Default Decision dated July 1, 2011 in Disciplinary Proceeding No. 2009017968701.
6. That the Default Decision found that the Respondent failed to respond to requests for documents, thereby violating FINRA Procedural Rule 8210 and Conduct Rule 2010. Specifically, these document requests were made on May 28, 2009, June 2, 2009, July 16, 2009, October 1, 2009, and May 3, 2010. The Respondent failed to comply with any of these requests.

WHEREAS, the Secretary of State adopts the following additional Findings of Fact:

7. That at all relevant times, the Respondent was registered with the Secretary of State as a salesperson in the State of Illinois pursuant to Section 8 of the Act.

WHEREAS, the Secretary of State has determined that the following recommendations of the Hearing Officer shall be adopted as the Secretary of State's Conclusion of Law:

1. The Department properly served the Notice of Hearing on Respondent.
2. The Notice of Hearing included the information required under Section 1102 of the Code.
3. The Secretary of State has jurisdiction over the subject matter pursuant to the Act.
4. Because of Respondent's failure to file a timely answer, special appearance or other responsive pleading in accordance with Section 13.1104:
 - (a) The allegations contained in the Notice of Hearing are deemed admitted;
 - (b) Respondent waived his right to a hearing;
 - (c) Respondent is subject to an Order of Default.
5. Because the Respondent failed to appear at the time and place set for hearing, in accordance with Section 130.1109, he:
 - (a) waived his right to present evidence, argue, object or cross examine witnesses; or
 - (b) otherwise participate at the hearing.

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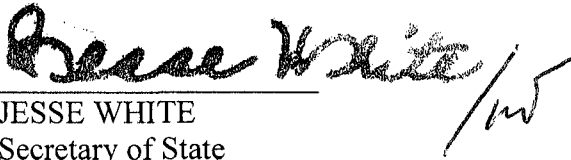
6. On November 17, 2011, FINRA barred Respondent from associating with any member firm in any capacity based upon the Default Decision dated July 1, 2011 in Disciplinary Proceeding No. 2009017968701. FINRA's Default Decision found that the Respondent failed to respond to requests for documents, thereby violating FINRA Procedural Rule 8210 and Conduct Rule 2010.
7. That Section 8.E(1)(j) of the Act provides, inter alia, that the registration of a salesperson and investment adviser representative may be revoked if the Secretary of State finds that such Salesperson or investment adviser representative has been suspended by any self-regulatory organization Registered under the Federal 1934 Act or the Federal 1974 Act arising from any fraudulent or deceptive act or a practice in violation of any rule, regulation or standard duly promulgated by the self-regulatory Organization.
8. That FINRA is a self-regulatory organization as specified in Section 8.E(1)(j) of the Act.

WHEREAS, by virtue of the foregoing, the Respondent's registrations as a Salesperson and Investment Adviser Representative in the State of Illinois are subject to revocation pursuant to Sections 8.E(1)(j) and 8.E(3) of the Act.

NOW THEREFORE IT SHALL BE AND IS HEREBY ORDERED THAT:

1. The registration of Respondent Peter Peterson as a Salesperson in the State of Illinois; is REVOKED.
2. The registration of Respondent Peter Peterson as an Investment Adviser Representative in the State of Illinois is REVOKED.

ENTERED: This 18th day of April 2012.



JESSE WHITE
Secretary of State
State of Illinois

NOTICE: Failure to comply with the terms of this Order shall be a violation of the Section 12.D of the Act. Any person or entity who fails to comply with the terms of this Order of the Secretary of State, having knowledge of the existence of the Order, shall be guilty of a Class 4 Felony.

This is a final order subject to administrative review pursuant to the Administrative Review Law, {735 ILCS 5/3-101 et seq.} and the Rules and Regulations of the Illinois Securities Act, {14 Ill. Admin. Code

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Ch. I, Section 130.1123}. Any action for Judicial Review must be commenced within thirty-five (35) days from the date a copy of this Order is served upon the party seeking review.

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