

**STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT**

IN THE MATTER OF: Mark David Webb)
_____))

FILE NO. 1200291

CORRECTED NOTICE OF HEARING

TO THE RESPONDENT:

Mark David Webb
342 Allison Way
Wyckoff, NJ 07481

Miriam Lafuente, CCO/Intern CEO
Fator Securities LLC
500 Fifth Ave. Suite 1520
New York, NY 10110

You are hereby notified that pursuant to Section 11.F of the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act") and 14 Ill. Adm. Code 130, Subpart K, a public hearing will be held at 69 West Washington Street, Suite 1220, Chicago, Illinois 60602, on the 7th day of November, 2012 at the hour of 10:00 a.m. or as soon as possible thereafter, before James L. Kopecky Esq. or such other duly designated Hearing Officer of the Secretary of State.

Said hearing will be held to determine whether an order shall be entered denying Mark David Webb (the "Respondent") registration as a salesperson in the State of Illinois and/or granting such other relief as may be authorized under the Act including but not limited to the imposition of a monetary fine in the maximum amount pursuant to Section 11.E(4) of the Act, payable within ten (10) business days of the entry of the Order.

The grounds for such proposed action are as follows:

1. That on August 17, 2012, Fator Securities LLC, a registered dealer, filed a Form U-4 application for registration of the Respondent as a salesperson in the State of Illinois pursuant to Section 8 of the Act.

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2. That on August 19, 2008 FINRA entered Decision & Order of Offer of Settlement (“AWC” or “Order”) submitted by the Respondent regarding Disciplinary Proceeding No. 20070094088 which sanctioned the Respondent as follows:
 - a) suspended from association with any FINRA member in any capacity for eighteen (18) months, and
 - b) fined \$12,500.
3. That the Order found: Rule 2110: Webb, A trader at a member firm, purchased stock for the firm facilitation account when he knew that a hedge fund customer, who had moments earlier withdrawn an order it had placed with Webb for the same stock, intended to purchase the stock elsewhere. When confronted, he made material misstatements to his member organization in stating that he executed a trade for a customer order when, in fact, he did not possess a customer order. In an effort to cover up his misconduct, Webb provided false information to his employer in stating that he executed a trade for a customer order when, in fact, he did not possess a customer order. And he requested one or more sales traders at an affiliate firm to locate a buyer for stocks he had already purchased for the firm facilitation account, and located an existing order ticket with a time stamp prior to his purchase of a stock for the firm’s facilitation account, in order to make it appear that the purchase for the firm’s facilitation account had been intended for a customer.
4. That Section 8.E(1)(j) of the Act provides, inter alia, that the registration of a salesperson may be revoked if the Secretary of State finds that such Salesperson has been suspended by any self-regulatory organization Registered under the Federal 1934 Act or the Federal 1974 Act arising from any fraudulent or deceptive act or a practice in violation of any rule, regulation or standard duly promulgated by the self-regulatory Organization.
5. That FINRA is a self-regulatory organization as specified in Section 8.E(1)(j) of the Act.
6. That by virtue of the foregoing, the Respondent's registration as a Salesperson in the State of Illinois is subject to denial pursuant to Section 8.E(1)(j) of the Act.

You are further notified that you are required pursuant to Section 130.1104 of the Rules and Regulations (14 ILL. Adm. Code 130) (the “Rules”), to file an answer to the allegations outlined above within thirty (30) days of the receipt of this Notice. A failure to file an answer within the prescribed time shall be construed as an admission of the allegations contained in the Notice of Hearing.

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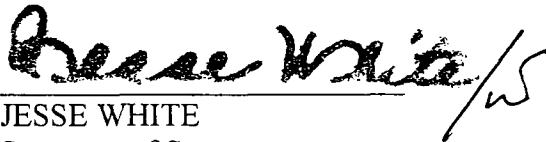
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Furthermore, you may be represented by legal counsel; may present evidence; may cross-examine witnesses and otherwise participate. A failure to so appear shall constitute default, unless any Respondent has upon due notice moved for and obtained a continuance.

A copy of the Rules, promulgated under the Act and pertaining to hearings held by the Office of the Secretary of State, Securities Department, is included with this Notice.

Delivery of Notice to the designated representative of any Respondent constitutes service upon such Respondent.

Dated: This 4th day of September 2012.

A handwritten signature in black ink that reads "Jesse White" followed by a stylized flourish or initials.

JESSE WHITE
Secretary of State
State of Illinois

Attorney for the Secretary of State:
Cheryl Goss Weiss
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Illinois Securities Department
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Hearing Officer:
James L. Kopecky:
203 N. LaSalle
Chicago, Illinois 60601