

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF: William Frederick Baumann)
_____)

FILE NO. 1100429

ORDER OF DISMISSAL

TO RESPONDENT:

William Frederick Baumann (Crd#: 1507915)
1946 N. Bristol Ct
Naperville, IL 60565

C/O Wells Fargo Advisors Financial Network, LLC
Attn: Director of Compliance
300 East 5th Avenue
Suite 265
Naperville, IL 60563

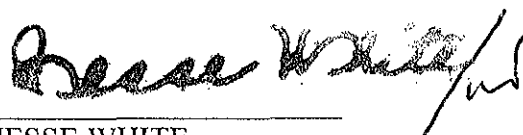
WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on October 19, 2011 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on October 19, 2011 against the Respondent William Frederick Baumann in this matter is dismissed without prejudice.

Dated: This 1st day of December 2011.



JESSE WHITE
Secretary of State

Maria A. Pavone
Enforcement Attorney
Illinois Securities Department
Office of Secretary of State
69 West Washington St.- Suite 1220
Chicago, IL 60602
Telephone:312.793.3022