

**STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT**

IN THE MATTER OF: DAVID SARGENT)
A/K/A SMOOTH D. STYLES, JR)
D/B/A THE ELITE CONSTRUCTION GROUP)
his partners, officers and directors, agents)
employees, affiliates, successors and assigns.)

FILE NO. 1000070

TEMPORARY ORDER OF PROHIBITION

**TO RESPONDENTS: DAVID SARGENT
A/K/A SMOOTH D. STYLES, JR.
D/B/A THE ELITE CONSTRUCTION GROUP
18361 Clyde
Lansing, Illinois 60438
And
2158 45th Street
Suite 204
Highland, Indiana 46322**

On information and belief, I, Jesse White, Secretary of State for the State of Illinois, through my designated representative, who has been fully advised in the premises by the staff of the Securities Department, Office of the Secretary of State, herein find:

1. Respondent David Sargent (a/k/a Smooth D. Styles, Jr. and d/b/a The Elite Construction Group) (at times hereinafter "Styles" or "Respondent") has last known addresses of 18361 Clyde, Lansing, Illinois 60438, and 2158 45th Street, Suite 204, Highland, Indiana, 46322.
2. In February 2008 Respondent Sargent offered at least one Illinois resident ("Investor") the opportunity to invest \$25,000 in "property deals" which would involve the acquisition, rehabilitation and re-sale of real estate.

Temporary Order of Prohibition

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3. On March 27, 2008 Investor and Respondent entered into a written contract which provided, *inter alia*, that Investor would place \$25,000 with Respondent who, within 60 days, would return to Investor “100% on the initial investment which is Twenty Five Thousand Dollars (\$25,000.00)”.
4. Investor tendered to Respondent a \$25,000 check which was subsequently endorsed by Respondent, and successfully negotiated into Respondent’s checking account.
5. The activities described above in paragraphs 2-4 constitute the offer and sale of an investment contract and are therefore a security as those terms are defined in Sections 2.1, 2.5 and 2.5a of the Illinois Securities Law of 1953 [815 ILCS 5] (the “Act”).

FRAUD IN SALE OF SECURITIES

6. Respondent failed to pay Investor any of the principal or interest due on the investment contract.
7. Respondent failed and refused to notify Investor of the risk involved in the purchase of the investment contract that could result in the loss of the \$25,000 paid by the Investor.
8. Respondent represented to Investor that Respondent was the owner of certain real estate in Indiana which would be “warranty deeded over to the above mentioned investor if for some reason this contract has to be termed null and void”.
9. In fact, Respondent Sargent was not the owner of the Indiana real estate, and accordingly could not lawfully convey it to Investor under any circumstances.
10. Section 12.F of the Act provides, *inter alia*, that it shall be a violation of the Act for any person, “to engage in any transaction, practice or course of business in connection with the sale or purchase of securities which works or tends to work a fraud or deceit upon the purchaser or seller thereof”.

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11. Section 12.G of the Act provides, *inter alia*, that it shall be a violation of the Act for any person, “to obtain money or property through the sale of securities by means of any untrue statement of a material fact or any omission to state a material fact necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading”.
12. Section 12.I of the Act provides, *inter alia*, that it shall be a violation of the Act for any person, “to employ any device, scheme or artifice, to defraud in connection with the sale or purchase of any security, directly or indirectly”.
13. By virtue of the foregoing, Respondent violated Sections 12.F, 12.G and 12.I of the Act and will violate them again if he makes further offers, or if he makes any sales of investment contracts or other securities described above in the State of Illinois.
14. The aforementioned findings are based upon credible evidence.
15. Section 11.F(2) of the Act provides, *inter alia*, that the Secretary of State may temporarily prohibit the offer or sale of securities by any person, without notice and prior hearing, if the Secretary of State shall deem it necessary to prevent an imminent violation of the Act or to prevent losses to investors that will occur as a result of prior violations of the Act.
16. The entry of this Temporary Order of Prohibition prohibiting Respondent David Sargent (a/k/a Smooth D. Styles, Jr. and d/b/a The Elite Construction Group or his partners, officers and directors, agents, affiliates, successors and employees, from offering or selling securities in the State of Illinois is in the public interest and for the protection of the investing public and is consistent with the purposes intended by the provisions of the Act.

NOW THEREFORE IT IS HEREBY ORDERED THAT: pursuant to the authority granted by Section 11.F of the Act, Respondent **David Sargent (a/k/a Smooth D. Styles, Jr. and d/b/a The Elite Construction Group** his partners, officers and directors, agents, employees, affiliates, successors and assigns are **Temporarily Prohibited** from offering or selling securities in or from this State until the further Order of the Secretary of State.

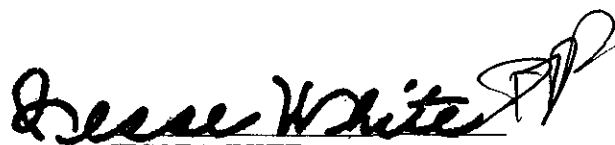
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NOTICE is hereby given that Respondent may request a hearing on this matter by transmitting such request in writing to the Director, Illinois Securities Department, 69 West Washington Street, Suite 1220, Chicago, Illinois 60602. Such request must be made within thirty (30) calendar days of the date of entry of the Temporary Order of Prohibition. Upon receipt of a request for hearing, a hearing will be scheduled as soon as reasonably practicable. A request for hearing will not stop the effectiveness of this Temporary Order of Prohibition and will extend the effectiveness of this Temporary Order of Prohibition for sixty (60) days from the date the hearing request is received by the Department.

FAILURE BY ANY RESPONDENT TO REQUEST A HEARING WITHIN THIRTY (30) CALENDAR DAYS AFTER ENTRY OF THIS TEMPORARY ORDER OF PROHIBITION SHALL CONSTITUTE AN ADMISSION OF ANY FACTS ALLEGED HEREIN AND SHALL CONSTITUTE SUFFICIENT BASIS TO MAKE THIS TEMPORARY ORDER OF PROHIBITION FINAL.

Dated: This 13th day of April 2010.



JESSE WHITE
Secretary of State
State of Illinois

Attorney for the Secretary of State:
James J. Tierney
Illinois Securities Department
69 West Washington Street
Chicago, Illinois 60602
312-793-9650

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF: Debra Jo Otlewis

FILE NO. 1100142

ORDER OF DISMISSAL

TO RESPONDENT:

Debra Jo Otlewis (CRD #1186680)
3022 N. Oleander
Chicago, IL 60707

C/O BMO Capital Markets GKST INC.
Attn: Director of Compliance
115 S. LaSalle Street
37th Floor
Chicago, IL 60603

**TO THE HEARING
OFFICER:**

Soula J. Spyropoulos
4125 West Lunt Street
Lincolnwood, Illinois 60712

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on March 18, 2011 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on March 18, 2011 against the Respondent Debra Jo Otlewis in this matter is dismissed without prejudice.

Dated: This 8th day of April 2011.


JESSE WHITE
Secretary of State

Maria A. Pavone
Enforcement Attorney
Illinois Securities Department
Office of Secretary of State
69 West Washington St.- Suite 1220
Chicago, IL 60602
Telephone: 312.793.30