

STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT

IN THE MATTER OF: George Douglas Bates

FILE NO. 1100087

ORDER OF DISMISSAL

TO RESPONDENT:

George Douglas Bates (CRD #1539381)  
5823 Inverness Drive  
Rockford, IL 61107

C/O Bates Financial Advisors, INC.  
Attn: Director of Compliance  
8437 Northern Ave  
Rockford, IL 61107

C/O Bates Securities, Inc  
Attn: Director of Compliance  
8437 Northern Ave  
Rockford, IL 61107

TO THE HEARING  
OFFICER:

Soula J. Spyropoulos  
4125 West Lunt Street  
Lincolnwood, Illinois 60712

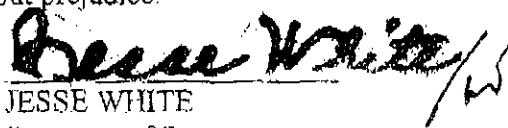
WHEREAS, at the time of the Amended Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Amended Notice of Hearing entered on March 21, 2011 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Amended Notice of Hearing entered on March 21, 2011 against the Respondent George Douglas Bates in this matter is dismissed without prejudice.

Dated. This 11<sup>th</sup> day of April 2011.

  
JESSE WHITE  
Secretary of State

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Illinois Securities Department  
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