

STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT

IN THE MATTER OF: RANDAL JAMES GABALDON )

FILE NO. 1100134

ORDER OF DISMISSAL

TO RESPONDENT:

Randal James Gabaldon (Crd#: 2602735)  
14744 Pilgrim Rd  
Polo, IL 61064

C/O Berthel, Fisher & Company Financial Services  
Attn: Director of Compliance  
701 Tama Street  
Building B  
Marion, IA 52302-0609

TO THE HEARING  
OFFICER:

Soula J. Spyropoulos  
4125 West Lunt Street  
Lincolnwood, Illinois 60712

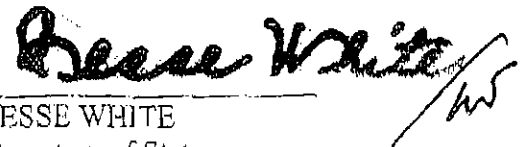
WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on March 16, 2011 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on March 16, 2011 against the Respondent Randal James Gabaldon in this matter is dismissed without prejudice

Dated: This 13<sup>th</sup> day of April 2011.



JESSE WHITE  
Secretary of State

Maria A. Pavone  
Enforcement Attorney  
Illinois Securities Department  
Office of Secretary of State  
69 West Washington St. - Suite 1220  
Chicago, IL 60602  
Telephone: 312.793.3022

STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT

IN THE MATTER OF: Randall Jean Davis

FILE NO. 1100105

ORDER OF DISMISSAL

TO RESPONDENT:

Randall Jean Davis (CRD #1681858)  
41 Lakeview Drive  
Fairbury, IL 61739

C/O Commonwealth Financial Network  
Attn: Director of Compliance  
29 Sawyer Road  
Waltham, MA 02453-3483

TO THE HEARING  
OFFICER:

Soula J. Spyropoulos  
4125 West Lunt Street  
Lincolnwood, Illinois 60712

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on March 16, 2011 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on March 16, 2011 against the Respondent Randall Jean Davis in this matter is dismissed without prejudice.

Dated: This 8<sup>th</sup> day of April 2011.

  
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JESSE WHITE  
Secretary of State

Maria A. Pavone  
Enforcement Attorney  
Illinois Securities Department  
Office of Secretary of State  
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