

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF: STEVEN KENT)
_____)

FILE NO. 1100051

ORDER OF DISMISSAL

TO THE RESPONDENT:

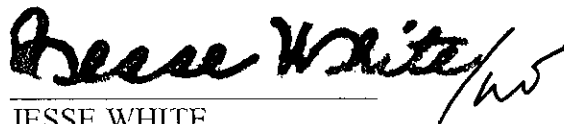
Steven Kent
(CRD#: 5867299)
421 N. Malden
LaGrange Park, Illinois 60526

Steven Kent (CRD#: 5867299)
C/o Merrill Lynch, Pierce,
Fenner & Smith Incorporated
101 S. Tryon Street NCI-002-19-44
Charlotte, North Carolina 28255

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on March 28, 2011 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on March 28, 2011 against the Respondent in this matter is dismissed without prejudice.

Dated: This 15th day of April, 2011.



JESSE WHITE
Secretary of State
State of Illinois

Daniel A. Tunick
Enforcement Attorney
Illinois Securities Department
Office of Secretary of State
69 West Washington St.- Suite 1220
Chicago, IL 60602
Telephone: 312.793.4433
Facsimile: 312.793.1202

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF: Susan Lynn Wolfe

FILE NO. 1100132

ORDER OF DISMISSAL

TO RESPONDENT:

Susan Lynn Wolfe (Crd#: 2661473)
1446 N Eagle Street
Naperville, IL 60523

C/O Merrill Lynch, Pierce, Fenner & Smith Incorporated
Attn: Director of Compliance
101 S Tryon St
NC1-002-19-44
Charlotte, NC 28255

**TO THE HEARING
OFFICER:**

Soula J. Spyropoulos
4125 West Lunt Street
Lincolnwood, Illinois 60712

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on March 16, 2011 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on March 16, 2011 against the Respondent Susan Lynn Wolfe in this matter is dismissed without prejudice.

Dated: This 8th day of April 2011.


JESSE WHITE
Secretary of State

Maria A. Pavone
Enforcement Attorney
Illinois Securities Department
Office of Secretary of State
69 West Washington St. - Suite 1220
Chicago, IL 60602
Telephone: 312.793.3022