

**STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT**

\_\_\_\_\_) )  
IN THE MATTER OF: Jeffrey A. Bishop ) )  
\_\_\_\_\_) )

FILE NO. 0600052

TO THE RESPONDENT: Jeffrey A. Bishop  
(CRD # 2879349)  
2209 Pebble Beach Drive  
Plainfield, Illinois 60544

**CONSENT ORDER**

WHEREAS, Respondent on the 21<sup>st</sup> day of July executed a certain Stipulation to Enter Consent Order (the "Stipulation"), which hereby is incorporated by reference herein.

WHEREAS, by means of the Stipulation, Respondent has admitted to the jurisdiction of the Secretary of State and service of the Notice of Hearing of the Secretary of State, Securities Department, dated July 16, 2009 in this proceeding (the "Notice") and Respondent has consented to the entry of the Consent Order.

WHEREAS, by means of the Stipulation, the Respondent acknowledged, without admitting or denying the truth thereof, that the following allegations contained in the Notice of Hearing shall be adopted as the Secretary of State's Findings of Fact:

1. Jeffrey A. Bishop ("Respondent") is an individual with a last known address of 2209 Pebble Beach Drive, Plainfield, Illinois 60544.
2. Respondent was registered as a salesperson and as an investment advisor representative through his employment with Park Avenue Securities between July 1, 2005 and June 16, 2008. His CRD number is 2879349.
3. During Respondent's employment with Park Avenue Securities he solicited some Illinois investors and sold them ING variable annuities.

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4. The products identified in the paragraph above are securities as defined in Sections 2.1 of the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act").
5. In preparing the new account form for the investors referenced above the Respondent checked the box indicating that the sales were unsolicited when in fact the sales were solicited.
6. That Section 8.E(1)(q) of the Act provides, *inter alia*, that the registration of a salesperson may be denied, suspended or revoked if the Secretary of State finds that such salesperson has failed to maintain the books and records required under this Act or rules or regulations promulgated under this Act or under any requirements established by the Securities and Exchange Commission or a self regulatory body.
7. Acknowledges without admitting or denying the averments, that the following shall be adopted as the Secretary of State's Conclusion of Law:

By virtue of the foregoing, Respondent violated Section 8.E(1)(q) of the Act.

WHEREAS, by means of the Stipulation Respondent has acknowledged and agreed that he desires to resolve this matter absent further administrative action.

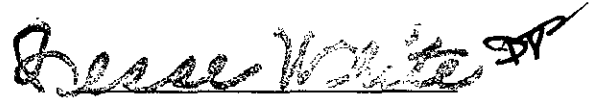
WHEREAS, by means of the Stipulation Respondent has acknowledged and agreed that within ten (10) days from the entry of the Order he shall make a payment of \$2,500 to the Illinois Secretary of State, Securities Audit and Investors Education Fund by cashier's check. Said payments shall constitute reimbursement to the Secretary of State of certain costs incurred in the administration of the Act in this matter.

WHEREAS, the Secretary of State, by and through his duly authorized representative, has determined that the matter related to the aforesaid formal hearing may be dismissed without further proceedings.

NOW THEREFORE IT SHALL BE AND IS HEREBY ORDERED:

1. That within ten (10) days from the entry of the Order Respondent shall make a payment of \$2,500 to the Illinois Secretary of State, Securities Audit and Investors Education Fund by cashier's check. Said payments shall constitute reimbursement to the Secretary of State of certain costs incurred in the administration of the Act in this matter.
2. That the formal hearing in this matter is dismissed without further proceeding.

DATED: This 31<sup>st</sup> day of July 2009.

A handwritten signature in black ink that reads "Jesse White" with a stylized flourish at the end.

JESSE WHITE  
Secretary of State  
State of Illinois

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