

STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT

\_\_\_\_\_  
IN THE MATTER OF: Phillip E Legorreta )  
 ) FILE NO: 1200510  
\_\_\_\_\_ )

ORDER OF DISMISSAL

TO THE RESPONDENT: Phillip E Legorreta (CRD 5247728)  
8 E. Randolph St.  
Chicago, IL 60601  
  
C/O Capital Brokerage Corporation  
Attn: Director of Compliance  
6620 West Broad St. BLDG 2  
Richmond, VA 23230

TO THE HEARING OFFICER: George Berbas  
180 N. LaSalle, Suite 3700  
Chicago, IL 60601

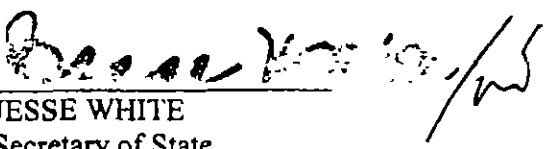
WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on February 6, 2013 may be dismissed against the Respondent

IT IS HEREBY ORDERED: The Notice of Hearing entered on February 6, 2013 against the Respondent Legorreta in this matter is dismissed without prejudice.

Dated: This 25th day of February 2013.

  
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JESSE WHITE  
Secretary of State

Maria A. Pavone  
Enforcement Attorney  
Illinois Securities Department  
Office of Secretary of State  
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