

STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT

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IN THE MATTER OF: Robert James Batchen )  
\_\_\_\_\_) )

FILE NO. 1200491

ORDER OF DISMISSAL

**TO THE RESPONDENT:**

Robert James Batchen (Crd#:2106288)  
640 McHenry Rd #307  
Wheeling, IL 60090

C/O Uhlmann Investment Management, LLC  
Attn. Director of Compliance  
141 W. Jackson  
Suite 1340 A  
Chicago, IL 60604

**TO THE HEARING  
OFFICER:**

George Berbas  
180 N. LaSalle, Suite 3700  
Chicago, IL 60601

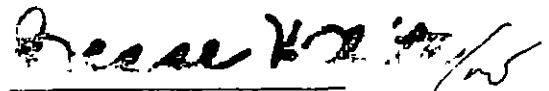
WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on February 7, 2013 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on February 7, 2013 against the Respondent Batchen in this matter is dismissed without prejudice.

Dated: This 25th day of February 2013.



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JESSE WHITE  
Secretary of State

Maria A Pavone  
Enforcement Attorney  
Illinois Securities Department  
Office of Secretary of State  
69 West Washington St.- Suite 1220  
Chicago, IL 60602