

STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT

\_\_\_\_\_  
IN THE MATTER OF: Anne Patricia Cameron )  
\_\_\_\_\_)

FILE NO. 1200288

**Order of Revocation**

TO THE RESPONDENT

Anne Patricia Cameron  
321 E. 69<sup>th</sup> Street  
Apt 2H  
New York, NY 10021

BNP Paribas Securities Corp.  
Attn: Gerianne Esposito, Vice President  
787 Seventh Avenue  
The Equitable Tower  
New York, NY 10019

WHEREAS, the above-captioned matter came to be heard on January 3, 2013, pursuant to the 2<sup>nd</sup> Corrected Notice of Hearing dated October 30, 2012, filed by Petitioner Secretary of State, and the record of the matter under the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act") has been reviewed by the Secretary of State or his duly authorized representative.

WHEREAS, the rulings of the Hearing Officer on the admission of evidence and all motions are deemed to be proper and are hereby concurred with by the Secretary of State.

WHEREAS, the proposed Findings of Fact, Conclusions of Law and Recommendations of the Hearing Officer, James L. Kopecky, Esq., in the above-captioned matter have been read and examined.

WHEREAS, the proposed Findings of Fact of the Hearing Officer concerning Respondent Anne Patricia Cameron are correct and are hereby adopted as modified as the Findings of Fact of the Secretary of State:

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1. The Department served Respondent with the 2<sup>nd</sup> Corrected Notice of Hearing on or about October 29, 2012.
2. The Respondent failed to answer, appear, or submit a responsive pleading.
3. The Respondent did not appear at the Hearing
4. At all relevant times, the Respondent was registered with the Secretary of State as a salesperson in the State of Illinois pursuant to Section 8 of the Act.
5. On January 3, 2012, the Financial Industry Regulatory Authority ("FINRA") entered Decision and Order of Offer of Settlement ("Order") submitted by the Respondent regarding Disciplinary Proceeding No. 2010023578401 which sanctioned Respondent as follows:
  - (a) Suspended from association with any FINRA member in any capacity for 15 business days, and
  - (b) Fined \$20,000.
6. That the Order found that Respondent Cameron, then a research analyst at her member firm, disclosed nonpublic information concerning an error in an energy firm research report on multiple occasions through emails and conversations to persons outside of her firm who were in a position to take advantage of the nonpublic information in the securities markets or pass the information on to others who could take advantage of it. Cameron's disclosures breached her duties under the firm's confidentiality policies. Cameron's disclosures of nonpublic information concerning the error in the energy firm's report were inconsistent with high standards of commercial honor and just and equitable principles of trade.

WHEREAS, the following shall be the Secretary of State's additional Finding of Fact:

7. That Section 8.E(3) of the Act provides, inter alia, withdrawal of an application for registration or withdrawal from registration as a salesperson or investment adviser representative becomes effective 30 days after receipt of an application to withdraw or within such shorter period of time as the Secretary of State may determined. If no proceeding is pending or instituted and withdrawal automatically becomes effective, the Secretary of State may nevertheless institute revocation or suspension proceeding within 2 years after withdrawal became effective and enter a revocation or suspension order as of the last date on which registrations was effective.

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WHEREAS, the Secretary of State finds the proposed Conclusions of Law of the Hearing Officer are correct and are hereby adopted based on the law and the record in this matter, and as the Conclusions of Law of the Secretary of State:

1. The Department properly served the Notice of Hearing on Respondent.
2. The Notice of Hearing included the information required under Section 1102 of the Code.
3. The Secretary of State has jurisdiction over the subject matter pursuant to the Act.
4. Because of Respondent's failure to file a timely answer, special appearance or other responsive pleading in accordance with Section 1104:
  - (a) the allegations contained in the Notice of Hearing are deemed admitted,
  - (b) Respondent waived his right to a hearing;
  - (c) Respondent is subject to an Order of Default
5. Because the Respondent failed to appear at the time and place set for hearing, in accordance with Section 1109, she:
  - (a) waived her right to present evidence, argue, object or cross examine witnesses; or
  - (b) otherwise participate at the hearing.
6. That Section 8.E(1)(j) of the Act provides, inter alia, that the registration of a salesperson may be revoked if the Secretary of State finds that such salesperson has been suspended by any self-regulatory organization registered under the Federal 1934 Act or the Federal 1974 Act arising from any fraudulent or deceptive act or practice in violation of any rule, regulation or standard duly promulgated by the self-regulatory organization.
7. FINRA is a self-regulatory organization as specified in Section 8.E(1)(j) of the Act.
8. By virtue of the forgoing Findings of Fact and Conclusion of Law, the Respondent's registrations as a salesperson in the State of Illinois is subject to revocation pursuant to Section 8.E(i)(j) of the Act

WHEREAS, The Hearing Officer recommends that:

An Order shall be entered revoking Respondent Anne Patricia Cameron's registration as a salesperson in the State of Illinois.

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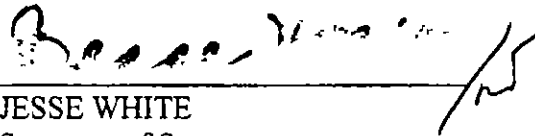
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WHEREAS, the Secretary of State accepts the Recommendations of the Hearing Officer and has determined based upon the Findings of Fact and Conclusions of Law that an Order shall be entered Revoking the Salesperson registration Respondent Anne Patricia Cameron in the State of Illinois.

NOW THEREFORE, IS SHALL BE AND IS HERBY ORDERED THAT.

1. Respondent Anne Patricia Cameron's registration as a Salesperson in the State of Illinois is hereby REVOKED.

Dated: This 7<sup>th</sup> day of March 2013.

  
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JESSE WHITE  
Secretary of State  
State of Illinois

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