

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF: James Elliott Moen

)
) FILE NO. 1200444
)

ORDER OF DISMISSAL

TO THE RESPONDENT:

James Elliott Moen (CRD 1242334)
1021 S. Scoville
Oak Park, IL 60304

C/O Benjamin & Jerold Brokerage I, LLC
Attn: Director of Compliance
55 Broad Street 28th FL
New York, NY 10004

TO THE HEARING
OFFICER:

George Berbas
180 N. LaSalle, Suite 3700
Chicago, IL 60601

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on February 4, 2013 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on February 4, 2013 against the Respondent in this matter is dismissed without prejudice.

Dated: This 12th day of March 2013.



JESSE WHITE
Secretary of State

Maria A. Pavone
Enforcement Attorney
Illinois Securities Department
Office of Secretary of State
69 West Washington St.- Suite 1220
Chicago, IL 60602
Telephone: 312.793.3022