

**STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT**

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**IN THE MATTER OF: Carlton Financial Services**

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) **FILE NO. 0600372**  
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**TEMPORARY ORDER OF PROHIBITION**

**TO RESPONDENTS:** Carlton Financial Services  
8400 Woodbine Avenue  
Markham, ON L3R, CA

On information and belief, I, Jesse White, Secretary of State for the State of Illinois, through my designated representative, who has been fully advised in the premises by the staff of the Securities Department, Office of the Secretary of State, herein finds:

1. Carlton Financial Services (hereinafter "Respondent") has a last known address of 8400 Woodbine Avenue, Markham, ON L3R, Canada.
2. On or about June 20, 2006, Respondent solicited an Illinois Borrower (hereinafter "Borrower") by way of phone call to enter into an agreement with Respondent whereby Respondent, in exchange for a fee would loan Borrower \$10,000.00.
3. Specifically, Respondents agreed that in exchange for \$800 Respondents would consider making a loan of \$10,000.
4. Borrower wired the \$800 to Respondents via Western Union.
5. Respondent called Borrower on June 21, 2006 and stated that Borrower would have to wire an additional \$760 in order to attain the \$10,000 loan.
6. Borrower wired the \$760 to Respondents via Western Union.
7. Respondent called Borrower a third time and stated that Borrower would have to wire an additional \$600 in order to attain the \$10,000 loan.

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8. Borrower wired the \$600 to Respondents via Western Union.
9. To date Borrower has not received the \$10,000 that Respondent agreed to advance.
10. To date Borrower has not received back any of the payments he made to Respondent.
11. That by virtue of the foregoing, Respondent was acting as a Loan Broker as that term is defined in Section 15-5.15 of the Illinois Loan Brokers Act of 1995 [815 ILCS 175/15-1] (the "Act").
12. Section 15-10 of the Illinois Loan Brokers Act of 1995 [815 ILCS 175/15-1] (the "Act") provides inter alia that it shall be unlawful for any person to engage in the business of loan brokering unless registered as such under the Act.
13. A review of the files of the Illinois Secretary of State's office, disclosed no record of registration for Respondents as loan brokers in the state of Illinois.
14. That by virtue of the foregoing Respondent violated Section 15-10 of the Act.


NOW THEREFORE IT IS HEREBY ORDERED THAT: pursuant to the authority granted by Section 15-40 of the Act, Respondent **Carlton Financial Services is PROHIBITED** from acting as a loan broker or engaging in the business of providing loan brokerage services in this State until the further Order of the Secretary of State.

NOTICE is hereby given that Respondents may request a hearing on this matter by transmitting such request in writing to the Director, Illinois Securities Department, 69 W. Washington Street, Suite 1220, Chicago, Illinois 60602. Such request must be made within thirty (30) calendar days of the date of entry of the Temporary Order of Prohibition. Upon receipt of a request for hearing, a hearing will be scheduled as soon as reasonably practicable. A request for hearing will not stop the effectiveness of this Temporary Order and will extend the effectiveness of this Temporary Order for sixty days from the date the hearing request is received by the Department.

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FAILURE BY ANY RESPONDENT TO REQUEST A HEARING WITHIN THIRTY (30) CALENDAR DAYS AFTER ENTRY OF THIS TEMPORARY ORDER OF PROHIBITION SHALL CONSTITUTE AN ADMISSION OF ANY FACTS ALLEGED HEREIN AND SHALL CONSTITUTE SUFFICIENT BASIS TO MAKE THIS TEMPORARY ORDER OF PROHIBITION FINAL.

Dated: This 8<sup>th</sup> day of November 2007

  
JESSE WHITE  
Secretary of State  
State of Illinois

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