STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

IN THE MATTER OF:

WACHOVIA CAPITAL MARKETS,

LLC 301 South College Street Charlotte, North Carolina

(CRD#: 126292)

Respondent.

Case No. 0400407

ORDER OF DISMISSAL

WACHOVIA CAPITAL MARKETS, LLC TO THE RESPONDENT:

(CRD#: 126292)

301 South College Street Charlotte, North Carolina

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing issued on the 2nd day of March, 2007, against Respondent Wachovia Capital Markets, LLC, may be dismissed.

IT IS HEREBY ORDERED: The Notice of Hearing against Wachovia Capital Markets, LLC, dated the 2nd day of March, 2007, is hereby dismissed, and the Hearing scheduled for 25th of April, 2007, is hereby cancelled.

Dated: This 2th day of March 2007.

Secretary of State State of Illinois

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