

Corrected Summary Order of Suspension

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WHEREAS, the Secretary of State finds that the grounds for such Summary Orders of Suspension are as follows:

1. That at all relevant times, Respondent Westor was registered with the Secretary of State as a dealer in the State of Illinois pursuant to Section 8 of the Act.
2. That at all relevant times, Respondent Bach was registered with the Secretary of State as a salesperson in the State of Illinois pursuant to Section 8 of the Act.
3. That at all relevant times Respondent Bach was both registered through and a control person of Respondent Westor, being both a direct owner and executive officer of Westor.
4. On January 22, 2013, FINRA filed for a Temporary Cease and Desist order (TCDO) against Bach and his Firm, Westor Capital Group, Inc. ("Westor" or the Firm), to immediately stop the further misappropriation and misuse of customer funds and securities. FINRA is seeking the TCDO to prevent further customer harm that would likely continue before a formal disciplinary proceeding could be completed.

Bach and Westor each withdrew their request for a hearing on March 29, 2013. As a result, the Notice of Suspension issued March 13, 2013 is in effect as of close of business March 29, 2013. As a part of the final action, Bach and Westor shall distribute to all Firm customers their fully paid Securities and free credit balances. Any actions, Bach and/or Westor takes, until April 30, 2013, in furtherance of the distribution to all customers of the Firm of their fully-paid Securities and free credit balances will not be deemed in violation of the suspension. Bach and the Firm shall not be permitted to liquidate customer securities. The Bach and the Firm shall also provide FINRA on a weekly basis, beginning on April 5, 2013, satisfactory documentary proof that such funds and Securities have been returned to customers. All other requirements of the notice remain in effect. The suspension is in effect March 30, 2013.

5. That Section 8 B(8)(b)(11) of the Act requires that any change which renders no longer accurate any information contained in any application for registration or re-registration of a dealer shall be reported to the Secretary of State within ten business days after the occurrence of such change.
6. That FORM BD at question 11.G asks:

Is the *applicant* or a *control affiliate* now the subject of any regulatory *proceeding* that could result in a "yes" answer to any part of 11.H(1)?

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7. That the Respondents failed to report to the Secretary of State or on Respondent Westor's FORM BD that a direct owner and executive officer of Respondent Westor, Richard Hans Bach, was the subject of the FINRA'S proceeding discussed at Paragraph 4, above
8. That the Respondents have failed and refused and continue to refuse to file disclosure of the FINRA'S proceeding against Respondent Richard Hans Bach on Respondent Westor's Form BD.
9. That Section 12.D of the Act provides, inter alia, that it shall be a violation of the provisions of the Act for any person to fail to file with the Secretary of State any application, report or document required to be filed under the provisions of the Act or any rule or regulation made by the Secretary of State pursuant to the Act.
10. That by virtue of the foregoing, the Respondents have committed a violation of Section 12.D of the Act.
11. That Section 8.E(1)(g) of the Act provides that the registration of a dealer may be suspended if they have violated any of the provisions of this Act.
12. That by virtue of the foregoing, the Respondent Westor's registration as a dealer in the State of Illinois is subject to suspension pursuant to Section 8.E(1)(g) of the Act.
13. That Section 8.E(1)(g) of the Act provides that the registration of a salesperson may be suspended if he has violated any of the provisions of this Act
14. That by virtue of the foregoing, the Respondent Bach's registration as a salesperson in the State of Illinois is subject to revocation pursuant to Section 8.E(1)(g) of the Act.

NOW IT IS HEREBY ORDERED THAT.

Respondent Westor's registration as a Dealer in the State of Illinois shall be SUSPENDED, effective May 22, 2013, subject to the further Order of the Secretary of State.

Respondent Bach's registration as a Salesperson in the State of Illinois is hereby SUSPENDED, effective May 22, 2013

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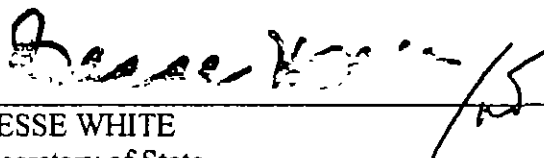
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A public hearing will be set within thirty (30) days of the Respondent's filing a written request for hearing with the Secretary of State at 69 West Washington Street, Suite 1220. Chicago, Illinois 60602. Said hearing will be held at the aforesaid address before a hearing Office duly designated by the Secretary of State. A copy of the Rules under the Act pertaining to contested cases is attached to this Order.

YOUR FAILURE TO REQUEST A HEARING WITHIN THIRTY (30) DAYS AFTER RECEIPT OF THIS ORDER SHALL CONSTITUTE AN ADMISSION OF ANY FACTS ALLEGED HEREIN AND SHALL CONSTITUTE A SUFFICIENT BASIS TO MAKE THIS ORDER FINAL

Delivery of this Order or any subsequent notice to the designated representative of any Respondent constitutes service upon such Respondent.

Dated: This 22nd day of May, 2013.



JESSE WHITE
Secretary of State
State of Illinois

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