

STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT

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IN THE MATTER OF: SHAWN WILLIAM SORRELLS

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) No. 0500279  
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ORDER OF REVOCATION

TO THE RESPONDENT: Shawn Williams Sorrells (CRD# 2845916)  
13353 Bellshire Lane  
Westfield, Indiana 46074

WHEREAS, the record of the above captioned matter has been reviewed by the Secretary of State or his duly authorized representative;

WHEREAS, the rulings of the Hearing Officer on the admission of evidence and all motions are deemed to be proper and are hereby concurred with by the Secretary of State;

WHEREAS, the proposed Findings of Facts and Conclusions of Law and Recommendation of the Hearing officer, Jon K. Ellis, in the above-captioned matter have been read and examined;

WHEREAS, the following proposed Findings of Fact are correct and are adopted by the Secretary of State as follows:

1. The pleadings and exhibits have been offered and received from the Department and a proper record of all proceedings has been made and preserved as required by law;
2. The Hearing Officer has ruled on all motions and objections timely made and submitted;
3. The Hearing Officer and the Secretary of State Securities Department have jurisdiction over the parties herein and subject matter dealt with herein, due and proper notice having been previously given as required by statute in this Matter;
4. As no Answer was filed, Respondent, Shawn William Sorrells, is therefore deemed to be in default;
5. That at all times relevant, the Respondent was registered as a salesperson pursuant to Section 8 of the Illinois Securities Law 815 ILCS 5/1 et seq. (the "Act"), and the

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Respondent's salesperson registration was terminated in Illinois on October 7, 2003;

6. On March 28, 2005, in the Hamilton Superior Court #5 in the State of Indiana, the Respondent pleaded guilty to Theft, a Class D Felony, at which time the Court accepted said plea and judgment of conviction was entered;
7. Section 8.E.1(a) of the Act provides, inter alia, that subject to the provisions of subsection F of Section 11 of the Act, the registration of a salesperson may be suspended or revoked if the Secretary of State finds that a salesperson has been convicted of any felony during the 10 year period preceding the date of filing;
8. Section 8.E(3) of the Act provides, inter alia, that if no proceeding is pending or instituted and withdrawal becomes effective, the Secretary of State may nevertheless institute a revocation or suspension proceeding within two years after withdrawal became effective and enter a revocation or suspension order as of the last date on which registration was effective;
9. By virtue of the foregoing, the Respondent is subject to the entry of an Order which revokes his salesperson registration in the State of Illinois pursuant to the authority provided under Section 8.E.1 of the Act;
10. The entry of a Final Order of Revocation is proper in this matter, given the conduct of the Respondent as described in Secretary of State Exhibits No. 1-3, as well as the fact that the Respondent failed to appear at the hearing and properly answer the Notice of Hearing.

WHEREAS, the following proposed Conclusions of Law are correct and are adopted by the Secretary of State as follows:

1. After proper notification, the Department may proceed with a hearing in the Respondent's absence. (735 ILCS 5/1-105 and 5/2-1301; Ryan v. Bening, 1978, 22 IL.Dec.873, 66 Ill.App.3d 127, 383 N.E.2d 681; In Re the Marriage of Garde, 1983, 73 Ill.Dec. 816, 118 Ill.App.3d. 303, 454 N.E.2d 1065). Significantly, the Notice of Hearing outlines that a default judgment may be entered against a Respondent that fails to appear or answer the charges;


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2. The conviction of the Respondent on March 28, 2005, as detailed and documented in the Department's Exhibit No. 2 is a basis for Revocation under of Section 8.E.1 of the Act;
3. By virtue of the foregoing, the Respondent is subject to an Order of Revocation in the State of Illinois, and/or granting such other relief as may be authorized under the Act;
4. Because of the Findings of Fact and Conclusions of Law, the pleadings and the judgment of conviction entered against the Respondent on March 28, 2005, in the Hamilton Superior Court #5 in the State of Indiana admitted as Secretary of State Exhibit No. 2, as well as the fact that the Respondent failed to answer the Notice of Hearing or appear at the hearing, the entry of a written order of Revocation pursuant to Section 8.E(1) of the Act which revokes the registration of the Respondent as a salesperson of securities in the State of Illinois, is proper in this Matter.

NOW THEREFORE, IT IS HEREBY ORDERED that the Respondent's registration as a salesperson of securities in the State of Illinois shall be Revoked.

ENTERED: This *22<sup>nd</sup>* day of *November*, 2005

*Jesse White* 

Jesse White  
Secretary of State  
State of Illinois

NOTICE: Failure to comply with the terms of this Order shall be a violation of the Section 12.D of the Act. Any person or entity who fails to comply with the terms of this Order of the Secretary of State, having knowledge of the existence of the Order, shall be guilty of a Class 4 felony.

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This is a final order subject to administrative review pursuant to the Administrative Review Law, [735 ILCS 5/3-101 et seq.] and the Rules and Regulations of the Illinois Securities Act, [14 Ill. Admin. Code Ch. I, Section 130.1123]. Any action for Judicial Review must be commenced within thirty-five (35) days from the date a copy of this Order is served upon the party seeking review.

Attorney for the Secretary of State:

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