

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF: Nicholas P. Ewen)
_____))

FILE NO. 12-00519

ORDER OF DISMISSAL

TO THE RESPONDENT: Nicholas P. Ewen CRD 5428256
1501 Spruce Street
Placentia, CA 92870

C/O Pruco Securities, LLC
Attn: Director of Compliance
751 Broad Stteet
Newark, NJ 07102

**TO THE HEARING
OFFICER:** George Berbas
180 N. LaSalle, Suite 3700
Chicago, IL 60601

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on February 6, 2013 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on February 6, 2013 against the Respondent in this matter is dismissed without prejudice

Dated: This 18th day of June 2013.



JESSE WHITE
Secretary of State

Maria A. Pavone
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