

**STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT**

IN THE MATTER OF ALETHEIA SECURITIES, INC)
_____)

FILE NO. 1300179

ORDER OF CANCELLATION

TO THE RESPONDENT: **ALETHEIA SECURITIES, INC.**
(CRD #44784)
Attn: Patricia Barnes, CCO
100 Wilshire Blvd, Ste 1900
Santa Monica, CA 90401

This matter having come on for consideration before Jesse White, Secretary of State, through his designated representative, the Securities Director, and having been fully advised in the premises by the staff of the Illinois Securities Department, Office of the Secretary of State, (the "Department") herein finds:

1. That Aletheia Securities, Inc. (the "Respondent") was and at all times relevant hereto was registered as a dealer in the State of Illinois pursuant to Section 8 of the Illinois Securities Law [815 ILCS 5/1 et seq.] (the "Act")
2. That on or about June 10, 2013, the Respondent was suspended from membership in the Financial Industry Regulatory Authority, Inc ("FINRA") for failure to file its quarterly focus report pursuant to FINRA Rule 9552. On or about July 18, 2013, Respondent was expelled from FINRA membership for failing to request termination of suspension within three months of receiving the notice of suspension letter.
3. That Section 8.E(2) of the Act provides, inter alia, that if the Secretary of State finds that any registrant or applicant for registration is no longer in business as a dealer or cannot be located after reasonable search, the Secretary of State may by order cancel the registration or application.
4. That the Department has determined that the Respondent has ceased to do business as a dealer in the State of Illinois.
5. That it is in the public interest to enter an order which cancels the dealer registration of the Respondent Aletheia Securities, Inc in the State of Illinois.

Order of Cancellation

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NOW THEREFORE IT IS HEREBY ORDERED: That the dealer registration of the Respondent Aletheia Securities, Inc in the State of Illinois is hereby **CANCELLED**

DATED This 20th day of December 2013.

A handwritten signature in black ink that reads "Jesse White" with a stylized flourish at the end.

JESSE WHITE
Secretary of State
State of Illinois

Attorney for the Secretary of State.
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