

**STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT**

IN THE MATTER OF:)	
)	
)	
ADAM C. JACKSON and)	No. 1400260
his partners, officers and directors,)	
agents, employees, affiliates, successors,)	
assigns and associated companies.)	
)	

ORDER OF PROHIBITION

**TO RESPONDENT: Adam C. Jackson
14016 Camdan Drive
Homer Glen, Illinois 60491**

And/Or

**Adam C. Jackson
10703 Gabrielle Lane
Orland Park, Illinois 60462**

WHEREAS, a Temporary Order of Prohibition was issued by the Secretary of State on April 10, 2015 which prohibited **Adam C. Jackson**, his partners, officers and directors, agents, employees, affiliates, successors, assigns and associated companies from offering or selling securities in or from the State of Illinois until further order of the Secretary of State or his duly authorized representative;

WHEREAS, pursuant to section 11.F of the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act"), the failure to request a hearing within thirty (30) calendar days of the entry of the Temporary Order shall constitute an admission of any acts alleged therein and constitute a sufficient basis to make the Temporary Order final;

WHEREAS, the Respondent has failed to request a hearing on the matters contained in the Temporary Order within thirty (30) calendar days of the entry of said Temporary Order and the Respondents are hereby deemed to have admitted the facts alleged in the Temporary Order;

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WHEREAS, the Secretary of State, by and through his duly authorized representative, has adopted the Findings of Fact contained in the Temporary Order as the Secretary of State's Findings of Fact as follows:

1. Respondent Adam C. Jackson (at times hereinafter "AJ" or "Respondent" or "Respondent AJ") has last known addresses of 14016 Camdan Drive, Homer Glen, Illinois 60491, and/or 10703 Gabrielle Lane, Orland Park, Illinois 60462 .
2. In January 2014 AJ offered to an Illinois resident ("Investor") an opportunity to invest \$8,500 in an unspecified plan to earn money "in real estate", and that Investor #1 would receive a return of \$14,000 within 2 months.
3. In January 2014 Investor gave AJ a JP Morgan Chase Bank cashier's check in the amount of \$8,500, which AJ brought to a Chase Bank, negotiated and received cash in the amount of \$8,500.
4. The activities described above in paragraphs 2-3 constitute the offer and sale of an investment contract and is therefore a security as those terms are defined in Sections 2.1, 2.5 and 2.5a of the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act").

FRAUD IN SALE OF SECURITIES

5. Respondent AJ failed to pay Investor any of the \$14,000 promised return on the investment contract; and returned to Investor only \$1,000 of the invested \$8,500.
6. Instead AJ repeatedly made excuses and postponed payment of the promised \$14,000 return due to Investor; and in spite of repeated demands failed to repay the remaining \$7,500 of the invested funds.
7. Respondent failed and refused to notify Investor of the risk involved in the purchase of the investment contract that could result in the loss of the money invested by Investor.
8. Section 12.F of the Act provides, *inter alia*, that it shall be a violation of the Act for any person to engage in any transaction, practice or course of business in connection with the sale or purchase of securities which works or tends to work a fraud or deceit upon the purchaser or seller thereof.
9. Section 12.G of the Act provides, *inter alia*, that it shall be a violation of the Act for any person to obtain money or property through the sale of securities by means of any untrue statement of a material fact or any omission to state a material fact necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading.

10. By virtue of the foregoing failures in the investment of both Investor, Respondent AJ violated Sections 12.F and 12.G of the Act and will violate them again if he make further offers, or if he makes any sales of investment contracts or other securities in the State of Illinois.

FAILURE TO REGISTER SECURITIES

11. Section 5 of the Act provides, *inter alia*, that all securities except those exempt under Section 3 or those offered or sold in transactions exempt under Section 4 shall be registered either by coordination or by qualification prior to their offer or sale in the State of Illinois.
16. Respondent AJ failed to file with the Secretary of State an application for registration of the security described above as required by the Act, and as a result, the security was not registered pursuant to Section 5 of the Act prior to its offer and sale in the State of Illinois.
17. Section 12.A of the Act provides, *inter alia*, that it shall be a violation for any person to offer or sell any security except in accordance with the provisions of the Act.
18. Section 12.D of the Act provides, *inter alia*, that it shall be a violation for any person to fail to file with the Secretary of State any application, report or document required to be filed under the provisions of the Act or any rule or regulation made by the Secretary of State pursuant to the Act.
19. By virtue of the foregoing, Respondent AJ violated Sections 12.A and 12.D of the Act.

FAILURE TO APPEAR AND PRODUCE

20. On March 17, 2015 Respondent Adam C. Jackson, was served with a Subpoena Ad Testificandum (the "Subpoena") by personal service effected by an investigator of the Illinois Securities Department requiring Respondent's appearance for Testimony at 2:00 O'clock PM on April 1, 2015, and for Respondent to produce documents at that time.
21. Respondent AJ failed to appear for the testimony at 10:00 am on April 1, 2015, did not call to request rescheduling, and furthermore did not submit any of the requested documents required by the Subpoena.
22. Section 12.D of the Act provides, *inter alia*, that it shall be a violation for any person to fail to file with the Secretary of State any application, report or document required to be filed under the provisions of the Act or any rule or regulation made by the Secretary of State pursuant to the Act.

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23. By virtue of his failure to respond to the Subpoena and provide the required documents Respondent AJ violated Section 12.D of the Act.

NOW THEREFORE IT IS HEREBY ORDERED THAT: pursuant to the authority granted by Section 11.F of the Act, Respondent **Adam C. Jackson**, and his partners, officers and directors, agents, employees, affiliates, successors, assigns and associated companies are **PROHIBITED** from rendering investment advice and from offering or selling securities in or from this State until the further Order of the Secretary of State.

NOTICE: Failure to comply with the terms of this Order shall be a violation of Section 12.D of the ACT. Any person or entity that fails to comply with the terms of this Order of the Secretary of State, having knowledge of the existence of this Order, shall be guilty of a Class 4 felony for each offence.

This is a final order subject to administrative review pursuant to the Administrative Review Law [735 ILCS 5/3-101 *et seq.*] and the Rules and Regulations of the Act (14 Ill. Admin. Code, Ch. 1 Sec. 130.1123). Any action for judicial review must be commenced within thirty-five (35) days from the date a copy of this Order is served upon the party seeking review.

Dated: This 11th day of June 2015.



JESSE WHITE
Secretary of State
State of Illinois

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