

STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT

IN THE MATTER OF: JAY M. POLLACK, CRD # 1082586 ) File No. 1400518  
)

ORDER TO VACATE AN ORDER ISSUED DUE TO DEFAULT

TO THE RESPONDENT: Jay M. Pollack  
c/o Richard T. Reibman, Esq.  
Thompson Coburn LLP  
55 E. Monroe St., 37<sup>th</sup> Fl.  
Chicago, IL 60603

c/o Park Ave. Securities LLC  
Attention Kenneth Goodall  
7 Hanover Square H4C  
New York, NY 10004

WHEREAS, the Illinois Securities Department issued an Order of Revocation and Fine on October 30, 2015;

WHEREAS, on or about November 4, 2015, the Department received an Application to Vacate an Order Due to Default.

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that:

1. The Order of Revocation and Fine issued on October 30, 2015 may be vacated.
2. An extension to file an answer may be granted.
3. An Amended Notice of Hearing may be issued by the Department.
4. A supplemental hearing may be set for January 20, 2016.


NOW THEREFORE IT IS HEREBY ORDERED:

Order to Vacate an Order Issued Due to Default

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1. That the Order of Revocation and Fine issued on October 30, 2015 against Jay M. Pollack is hereby vacated.
2. That a period of thirty (30) days to file an answer is granted from the date the Amended Notice of Hearing is issued.
3. That a supplemental hearing is set for January 20, 2016 at the hour of 10:00 a.m., or as soon thereafter as counsel may be heard.

ENTERED: This 9<sup>th</sup> day of November, 2015.

  
JESSE WHITE  
Secretary of State  
State of Illinois

Attorney for the Secretary of State:

Shannon Bond  
Illinois Securities Department  
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Springfield, Illinois 62701  
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Hearing Officer:

Jon K. Ellis