

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF:

TERRY LEE HAGGERTY (CRD# 728634)

FILE NO. 1600217

NOTICE OF HEARING

TO THE RESPONDENT: Terry Lee Haggerty (CRD# 728634)
1531 Winnetka Road
Glenview, Il 60025

You are hereby notified that pursuant to Section 11(E) of the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act") and 14 Ill. Adm. Code 130, Subpart K, a public hearing will be held at 69 W. Washington Street, Suite 1220, Chicago, Illinois 60602, on the 16th day of October 2017, at the hour of 10:15 a.m., or as soon as possible thereafter, before Hearing Officer Kopecky, or such duly designated Hearing Officer of the Secretary of State.

Said hearing will be held to determine whether Respondent Terry Lee Haggerty is subject to disqualification under Section 8 (E)(1) of the Act, as amended. The grounds for such proposed action is as follows:

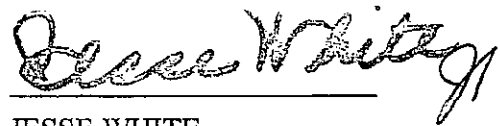
REVOCATION

1. Terry Lee Haggerty ("**Respondent Haggerty**"), CRD No. 728634, is an individual, formerly registered with Illinois as an investment advisor representative, with the last known address of 1531 Winnetka Road, Glenview, IL 60025. At all times relevant to this matter, Respondent Haggerty served as the sole owner, officer, director, and employee of Blue Sky Group, Inc.
2. Blue Sky Group, Inc. ("**Blue Sky Group**"), CRD No. 135654, is a formerly registered investment advisor and dissolved Illinois corporation. Blue Sky Group was incorporated December 30, 2004 and involuntarily dissolved May 13, 2016. Blue Sky Group's last known address is 1531 Winnetka Road, Glenview, IL 60025.
3. On February 18, 2016, Respondent Haggerty was suspended by FINRA for failing to comply with an arbitration award or settlement agreement or to satisfactorily respond to a FINRA request to provide information concerning the status of compliance.

4. On March 17, 2016, Blue Sky withdrew its registration in Illinois. As a result, Respondent Haggerty's registration as an Investment Advisor Representative terminated.
5. On April 14, 2016, Respondent Haggerty signed a Financial Industry Regulatory Authority Letter of Acceptance, Waiver and Consent ("AWC"). In the AWC, without admitting or denying the findings, Respondent Haggerty consented to sanctions and to the entry of findings that he engaged in manipulative trading activity.
6. Section 8(E)(1)(j) of the Act states in pertinent part that the registration of an investment advisor representative may be suspended or revoked if that registrant has had their membership or association with any self-regulatory organization registered under the Securities Exchange Act of 1934 suspended, revoked, refused, expelled, cancelled, barred, or limited in any capacity.
7. Section 8.E(3) of the Act states that the Secretary of State may institute a revocation or suspension proceeding within two years after an individual's withdrawal of registration became effective and enter a revocation and suspension order as of the last date on which registration was effective.
8. That by virtue of the foregoing, Respondent Haggerty is subject to disqualification under Section 8.E(1)(j), and 8.E(3) under the Act and the Secretary of State may retroactively revoke Respondent Haggerty's registration as of 03/17/2016.

You are further notified that you are required, pursuant to Section 130.1104 of the Rules, to file an answer or other responsive pleading to the allegations outlined above, within thirty (30) days of receipt of this Notice. A failure to do so within the prescribed time shall be deemed an admission of the allegations contained in the Notice of Hearing and waives your right to a hearing. You may be represented by legal counsel, present evidence, cross-examine witnesses and otherwise participate. However, a failure to appear shall constitute default. Delivery of Notice to the designated representative of the respondent constitutes service upon such respondent.

Dated: This 29th day of August 2017.



JESSE WHITE
Secretary of State
State of Illinois

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