

STATE OF ILLINOIS
SECRETARY OF STATE
SECURITIES DEPARTMENT

IN THE MATTER OF: Andrew S. Kang

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)
) File No. 1200094
)
)

Consent Order

TO THE PETITIONER: Andrew S. Kang

 c/o Greg Ruggie
 Patrick J. Mayerbock
 Attorneys at Law
 Mayerbock Law Firm
 5791 North Elston Avenue
 Chicago, IL 60646

Whereas , an Order of Prohibition and Fine (Final Order) was entered against Andrew S. Kang on August 13, 2014.

Whereas, through his counsel, Andrew S. Kang requested an opportunity to present evidence of rehabilitation to the Secretary of State at a hearing pursuant to 815 ILCS 5/11 E(2).

Whereas, the above-captioned matter came on to be heard on June 6, 2018 pursuant to a Notice of Hearing dated March 29, 2018 and served on the Petitioner and the record of the matter under the *Illinois Securities Law of 1953* [815 ILCS 5] (the "Act") has been reviewed by the Secretary of State or his duly authorized representative.

Whereas, the rulings of the Hearing Officer on the admission of evidence and all motions are deemed to be proper and are hereby concurred with by the Secretary of State.

Whereas, the Petitioner, Andrew Kang and the Secretary of State agreed and consented that the Final Order be modified as follows: 1. The Order of Permanent Prohibition against Andrew Kang is terminated as of June 6, 2018 only as to Andrew Kang. 2. A Fine of \$10,000 against Andrew Kang is modified to a Fine of \$3,000 payable by certified check or money order

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within thirty (30) days of the date of entry of this Consent Order only as to Andrew Kang. All other terms, conditions and language of the Final Order shall remain in effect and unmodified.

NOW THEREFORE IT IS HEREBY ORDERED THAT:

1. The Order of Permanent Prohibition against Andrew Kang is terminated as of June 6, 2018 only as to Andrew Kang.

2. A Fine of \$10,000 against Andrew Kang is modified to a Fine of \$3,000 payable by certified check or money order within thirty (30) days of the date of entry of this Consent Order only as to Andrew Kang.

3. All other terms, conditions and language of the Final Order shall remain in effect and unmodified.

Dated this 7th day of June, 2018.



Jesse White
Secretary of State
State of Illinois

Attorney for the Secretary of State:
David Finnigan
Illinois Securities Department
421 E. Capitol Ave. 2nd Floor
Springfield, Illinois 62701
Telephone: (217) 785-4947

NOTICE: Failure to comply with the terms of this Order shall be a violation of Section 12.D of the Act. Any person or entity that fails to comply with the terms of this Order of the Secretary of State, having knowledge of the existence of this Order, shall be guilty of a Class 4 felony for each offense.

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This is a final order subject to administrative review pursuant to the Administrative Review Law [735 ILCS 5/3-101 *et seq.*] and the Rules and Regulations of the Act (14 Ill. Admin. Code, Ch. 1 Sec. 130.1123). Any action for judicial review must be commenced within thirty-five (35) days from the date a copy of this Order is served upon the party seeking review.