

**STATE OF ILLINOIS  
SECRETARY OF STATE  
SECURITIES DEPARTMENT**

\_\_\_\_\_  
IN THE MATTER OF: )  
 )  
 )

COKER & PALMER )

FILE NO. 1700274

RESPONDENT. )  
\_\_\_\_\_)

**NOTICE OF HEARING**

TO RESPONDENT: Coker & Palmer  
J. David Coker, President  
1667 Lelia Drive  
Jackson, MS 39216

You are hereby notified that pursuant to Section 11.F of the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act") and 14 Ill. Adm. Code 130, subpart K, a public hearing will be held at 69 West Washington Street, Suite 1220, Chicago, Illinois 60602, on July 30, 2018 at the hour of 10:00 a.m., or as soon thereafter as possible before James L. Kopecky, Esq. or such other designated Hearing Officer as the Secretary of State may appoint.

Said hearing will be held to determine whether an Order of Censure shall be entered against Respondent Coker & Palmer and its partners, officers and directors, agents and employees, affiliates, successors and assigns, members, and associated corporations, partnerships and companies from offering or selling securities in or from the State of Illinois and/or granting such other relief as may be authorized under the Act, including but not limited to, the imposition of a monetary fine in the maximum amount pursuant to Sec. 11.E(4) of the Act, payable within ten (10) days of the Order.

The grounds for such proposed action are as follows:

1. On March 13, 2017, Respondent filed an application for registration as a securities dealer in the State of Illinois.
2. Respondent is a firm which engages in the business of acting as a dealer in the offer and sale of securities to the general public.

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3. Between February 2015 and January 2016, Respondent effected at least forty-one (41) transactions on behalf of at least three (3) Illinois residents.
4. At the time Respondent effected the forty-one (41) transactions on behalf of the three (3) Illinois residents, Respondent was not registered as a dealer in the State of Illinois pursuant to Section 2.7 of the Illinois Securities Law of 1953 [815 ILCS 5/1 *et seq.*] ("Act").
5. Section 8.A of the Act provides, in pertinent part, except as otherwise provided, every dealer shall be registered as such with the Illinois Secretary of State.
6. The activity described in paragraph three (3) above constitutes the activity of a dealer as defined in Section 2.7 of the Act.
7. Section 12.A of the Act provides, *inter alia*, that it shall be a violation of the provisions of the Act for any person to offer or sell any security except in accordance with the provisions of the Act.
8. Section 12.C of the Act provides, *inter alia*, that it shall be a violation of the Act for any person to act as a dealer, salesperson, investment advisor, or investment adviser representative, unless registered as such, where registration is required, under the provisions of the Act.
9. By virtue of the foregoing, Respondent has violated Sections 12.A and 12.C of the Act.

You are further notified that pursuant to Section 130.1104 of the Rules and Regulations (14 Ill. Adm. Code 130) (the "Rules"), **you are required to file an answer to the allegations outlined above within thirty (30) days of the receipt of this notice.** A failure to file an answer within the prescribed time shall be construed as an admission of the allegations contained in the Notice of Hearing. The answer and all other pleadings and motions must be filed with the Illinois Securities Department by addressing them to:

Paula Bouldon  
Enforcement Attorney  
Illinois Department of Securities  
69 West Washington, Suite 1220  
Chicago, Illinois 60602

Furthermore, you may be represented by legal counsel; may present evidence; may cross-examine witnesses and otherwise participate. A failure to so appear shall constitute default unless Respondent has upon due notice moved for and obtained a continuance.

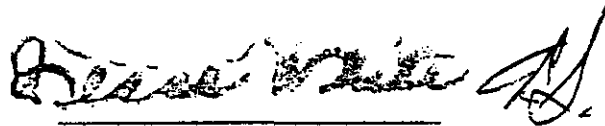
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The Rules promulgated under the Act and pertaining to Hearings held by the Office of the Secretary of State, Securities Department may be viewed online at <http://www.cyberdriveillinois.com/departments/securities/lawrules.html>.

Delivery of notice to the designated representative of Respondent constitutes service upon such Respondent.

Dated: This 13<sup>th</sup> day of June 2018.

A handwritten signature in black ink, appearing to read "Jesse White", written over a horizontal line.

JESSE WHITE  
Secretary of State  
State of Illinois